

Report 14.531
Date 19 November 2014
File CFO/26/01/01

Committee Risk and Assurance Committee
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Legal Compliance

1. Purpose

To report to the Committee on the Council's legal compliance review.

2. Background

Earlier this year Greater Wellington Regional Council (GWRC) undertook a legal compliance review of the major Acts that impact GWRC. This review has been completed in respect of the year ending 30 June 2014 for GWRC and the WRC Holdings Group (excluding CentrePort Ltd, which undertakes its own reviews). The review uses a checklist which is attached. (Refer **Attachment 1**).

3. Acts

The following Acts are covered by the checklist:

- Companies Act 1993
- Financial Reporting Act 1993
- Income Tax Act 2007
- Tax Administration Act 1994
- Goods and Services Tax Act 1985`
- Kiwi Saver Act 2006
- Local Government Act 2002
- Health and Safety in Employment Act 1992
- Resource Management Act 1991
- Water Board Act 1972
- Local Government Rating Act 2002
- Land Transport Act 1998
- Land Transport Management Act 2008
- New Zealand Railways Corporation Act 1981
- Public Transport Management Act 2008
- Civil Defence Emergency Management Act 2002

- Holidays Act 2003
- Employment Relations Act 2000
- Injury Prevention Rehabilitation and Compensation Act 2001
- Human Rights Act 1993
- Privacy Act 1993
- Biosecurity Act 1993
- Hazardous Substances and New Organisms Act 1996
- Agricultural Compounds and Veterinary Medicines Act 1997
- Arms Act 1983
- Transport Regulations
- Transport Act 1962
- Animal Welfare Act 1999
- Railways Act 2005
- Resource Management Act 1991

4. The Process

The checklist was completed by each group and reviewed and approved by each group manager.

5. Results of the review

There were no significant issues arising from the review.

6. Communication

No communications are necessary.

7. The decision-making process and significance

No decision is being sought in this report.

8. Recommendations

That the Committee:

1. ***Receives the report.***
2. ***Notes the content of the report.***

Report prepared by:

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Report approved by:

Mike Timmer
Treasurer/Acting Chief Financial Officer

Attachment 1: Legal Compliance checklist