

Attachment 3 – Top 10 Council risks

Risk Id	Description	Business Unit	Risk owner	Inherent risk level	Controls	Residual risk level
77	Contaminated site(s) either known or unknown that results in harm to environment and/or health	Environment Management	Graham Sevicke-Jones	Very High Risk	Resourcing - additional admin resource has been provided to ensure that the database is updated regularly. Also the reports provided to the public have been reviewed and reformatted to be more user friendly. Additional technical expertise has also been allocated to review the data provided by the TA's.	Medium Risk
114	Delivery of the Regional Public Transport Plan (RPTP) put at risk due to delay or cancellation of one or more interrelated projects	Public Transport	Wayne Hastie	Very High Risk	Co-ordinated project management Strong programme management Appropriate programme resources identified & engaged as required Appropriate external assistance Appropriate project governance Maintain strong relationships with WCC & NZTA	Medium Risk
115	Failure of KiwiRail network assets or network operations causes damage to GWRL assets or cancellation of multiple services	Public Transport	Angus Gabara	High Risk	GW ensures that KiwiRail has a robust emergency response plan that: - provides for efficient bus replacements - provides for effective customer communications in the event of a failure GW ensures that KiwiRail has a robust network management plan that: - focuses funded renewal activities on critical components of the network - provides for infrastructure maintenance, monitoring and inspections Maintain strong relationships with network owner and the rail operator, including regular meetings and reporting against a clear set of performance targets GW supports application to the crown (via MoT) for additional funding for 'catch up renewals' for network infrastructure	Medium Risk
8	Parks- Injury to staff	Environment Management	Bronek Kazmierow	Very High Risk	Department Hazard Registers Working Alone Procedures & Equipment Parks Health & Safety Plan Equipment procurement and maintenance Health and Safety training	Medium Risk
63	Unnecessary costs or delays incurred as a result of poor Public Transport procurement decisions (including PTOM contracts)	Public Transport	Wayne Hastie	Medium Risk	Ensure documented processes conform to Office of Auditor General's Guidelines and NZ Transport Agency requirements Engage an independent Probity Auditor for all major contracts Internal peer review Ensure all procurement processes have access to staff with an appropriate level of training Seek appropriate legal advice as required Engage a Probity Advisor Engagement of legal and procurement staff Overview by NZTA Projects managed by a separate programme management group with appropriate levels of skills and training	Medium Risk

Risk Id	Description	Business Unit	Risk owner	Inherent risk level	Controls	Residual risk level
106	Failure of KiwiRail network assets or network operations causes serious injury	Public Transport	Angus Gabara	High Risk	<p>GW ensures that KiwiRail has a robust network management plan that:</p> <ul style="list-style-type: none"> - focuses funded renewal activities on critical components of the network - provides for infrastructure maintenance, monitoring and inspections <p>GW ensures that KiwiRail has an emergency response plan with the network owner and operator</p> <p>Maintain strong relationships with the network operator, including regular meetings and reporting against a clear set of performance targets</p> <p>GW ensures that KiwiRail has a safety plan and current safety case</p> <p>GW supports application to the crown (via MoT) for additional funding for 'catch up renewals' for network infrastructure</p>	High Risk
118	Unsafe work practices by one of our contractors or public transport operators causes serious injury	Public Transport	Wayne Hastie	High Risk	<p>GW ensures that:</p> <ul style="list-style-type: none"> - appropriate health and safety clauses are included in contracts, including health and safety plans and reporting - health and safety is adequately funded - audits, monitoring and site inspections take place 	High Risk
119	Failure of telephony system	People and Customer	Bruce Horsefield	High Risk	<p>Ability to switch to a secondary server</p> <p>Use of the City Council Contact Centre in Palmerston North</p>	Medium Risk
51	Contracted bus services fail to meet acceptable levels of service reliability and/or quality targets due to reasons within the control of the bus operator	Public Transport	Rhona Hewitt	High Risk	<p>Enforceable Contracts with suppliers</p> <p>Department Business Continuity Plans</p> <p>Disaster Recovery Plan</p> <p>Maintain strong relationships with bus operators including regular meetings and reporting on performance</p> <p>Ensure bus operators have an operational plan for managing minimum service levels in the event of prolonged industrial action</p> <p>Ensure bus operators have maintenance programmes that ensure ongoing assessment of compliance, as well as suitable preventative maintenance programmes</p> <p>Ensure that contingency plans are considered at the first sign of pending insolvency</p> <p>Ensure bus operators have appropriate health and safety procedures and reporting</p>	Medium Risk
107	Contracted rail services fail to meet acceptable levels of service, reliability and/or quality targets	Public Transport	Angus Gabara	High Risk	<p>GW ensures that the rail operator has an emergency response plan that:</p> <ul style="list-style-type: none"> - provides for efficient bus replacements - provides for effective customer communications in the event of a service disruption <p>Maintain strong relationships with the rail operator, including regular meetings and reporting against a clear set of performance targets, including health and safety</p>	Medium Risk